

<b>FORM PTO-1449</b> Page 1 of 3		Atty Docket No: H0005302-9953 (1161.1123101)	Serial No.: 10/758,838
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Charles E. Bartlett et al.	
		Filing Date: January 16, 2004	Group Art: unknown

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	
CS	2002/0072322	09/2002	Sharp et al	
	2002/0090908	07/2002	Estepp	
	2003/0146289	08/2003	Sekhar et al.	
	2,495,861	01/1950	A.B. Newton	
	2,882,383	04/1959	R. L. Boyd, Jr. et al.	
	3,454,078	07/1969	G.E. Elwart	
	3,635,044	01/1972	Heth	
	3,948,438	04/1976	Vlasak	
	4,011,735	03/1977	Martz et al.	
	4,075,864	02/1978	Schrader	
	4,136,822	01/1979	Felter	
	4,267,967	05/1981	Beck et al.	
	4,356,962	11/1982	Levine	
	4,369,916	01/1983	Abbey	
	4,408,711	10/1983	Levine	
	4,452,391	06/1984	Chow	
	4,467,617	08/1984	Morgan, Jr. et al.	
	4,502,625	03/1985	Mueller	
	4,571,950	02/1986	Nariai et al.	
	4,595,139	06/1986	Levine	
	4,684,060	08/1987	Adams et al.	
	4,718,021	01/1988	Timblin	
	4,773,587	09/1988	Lipman	
	4,776,385	10/1988	Dean	
	4,838,482	06/1989	Vogelzang	
	4,930,460	06/1990	Aihara et al.	
	4,941,325	07/1990	Nuding	
	4,951,473	08/1990	Levine et al.	
	5,020,332	06/1991	Nakatsuno et al	
	5,131,236	07/1992	Wruck et al.	
✓	5,142,880	09/1992	Bellis	

<b>FORM PTO-1449</b> Page 2 of 3	Atty Docket No: H0005302-9953 (1161.1123101)		Serial No.: 10/758,838
	Applicant: Charles E. Bartlett et al.		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Filing Date: January 16, 2004		Group Art: unknown

CS	5,179,524	01/1993	Parker et al.	
	5,239,834	08/1993	Travers	
	5,241,253	08/1993	Schlagen	
	5,282,770	02/1994	Shibata	
	5,325,286	06/1994	Weng et al.	
	5,415,617	05/1995	Kraus	
	5,433,377	07/1995	Sodo et al.	
	5,492,273	02/1996	Shah	
	5,547,017	08/1996	Rudd	
	B1 5,547,017	11/2000	Rudd	
	5,579,993	12/1996	Ahmed et al.	
	5,707,005	01/1998	Kettler et al.	
	5,727,887	03/1998	Webster et al.	
	5,742,516	04/1998	Olcerst	
	5,791,408	08/1998	Seem	
	5,862,982	01/1999	Federspiel	
	5,881,806	03/1999	Rudd	
	5,884,806	03/1999	Boyer et al.	
	5,971,846	10/1999	Cho et al.	
	6,079,627	06/2000	Ketter	
	6,161,764	12/2000	Jatnicks	
	6,170,271	01/2001	Sullivan	
	6,179,627	01/2001	Daly et al.	
	6,318,639	11/2001	Toth	
	6,415,617	07/2002	Seem	
	6,431,268	08/2002	Rudd	
	6,467,695	10/2002	Riley et al.	
✓	6,514,138	02/2003	Estepp	

#### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No

Page 3 of 3	<b>FORM PTO-1449</b>	Atty Docket No: H0005302-9953 (1161.1123101)	Serial No.: 10/758,838
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Charles E. Bartlett et al.	
		Filing Date:	Group Art:
		January 16, 2004	unknown

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

05	Honeywell Inc., "Residential Ventilation Control," EPRI Research Project 2034-44, May 1993.
	Honeywell Inc., "Field Demonstration of a Residential Ventilation Controller," EPRI Research Project 3512-04, Final Report, March 1995.
	Nutech Energy Systems Inc., "Lifebreath Clean Air Furnace "Electric" Clean Air Furnace Manual Model 60ELE" pp. 1-18, downloaded February 5, 2004.
	<a href="http://content.honeywell.com/yourhome/ptc-thermostats/PC8900faq.htm">http://content.honeywell.com/yourhome/ptc-thermostats/PC8900faq.htm</a> , "Honeywell, Your Home Expert - Programmable Thermostats: FAQ, 10 pages, printed February 4, 2004.
	Honeywell, "Perfect Climate Comfort Center," Quick Guide, 6 pages, 1996.
	<a href="http://www.aricycler.com/frv.html">http://www.aricycler.com/frv.html</a> , "AriCycler -- The Indoor Air Quality Solution," 2 pages, printed February 4, 2004.
	<a href="http://www.aircycler.com/instfrv.htm">http://www.aircycler.com/instfrv.htm</a> , "AirCycler FR-V Installation," 2 pages, printed February 4, 2004.
	<a href="http://www.aircycler.com/instfrv.htm">http://www.aircycler.com/instfrv.htm</a> , "FR - Installation," 3 pages, printed February 4, 2004.
	ASHRAE STANDARD, "Ventilation and Acceptable Indoor Air Quality in Low-Rise Residential Buildings," BSR/ASRAE Standard 62.2P, Fourth Public Review, November 2002.
	Lipidex Corporation, "AirCycler, Improving Indoor Air Quality," 23 pages, printed September 8, 2003.
	Aprilaire, "'Choose a better ventilation solution for today's tight homes," Ventilation Control System Model 8126, 6 pages, 2003.
	Lifebreath, "Current Events Fall Edition," 4 pages, September 1999.
	Nutech Energy Systems Inc., "Programmable ventilation Controller 99-105," Operation and Installation Manual, 6 pages, downloaded February 4, 2004.
	Honeywell Inc., "Perfect Climate Comfort Center," Owners Guide, 44 pages, 1998.
	Aprilaire, "Ventilation Controller," Model 8120 Ventilation Controller, Owner's Manual, 2 pages, downloaded February 4, 2004.
✓	Nutech Energy Systems Inc., "Operating Your Ventilation Dehumidistat," Operating the 99-250 Ventilation Dehumidistat, 2 pages, downloaded February 4, 2004.
EXAMINER:	DATE CONSIDERED: 10/23/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/080 (08-03)

Approved for use through 07/31/2006, OMB 0651-0031


U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/758,838	
		Filing Date	January 16, 2004	
		First Named Inventor	Charles E. Bartlett	
		Art Unit	unknown	
		Examiner Name	unknown	
Sheet		of	Attorney Docket Number	H0005302-9953(1181.1123101)

RECEIVED  
CENTRAL FILE CENTER  
JAN 24 2005

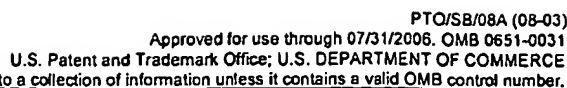
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CJ		Honeywell, Inc., "Residential Ventilation Control," Project Report, 32 pages, May 1993.	

Examiner Signature		Date Considered	10/25/05
--------------------	---	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Complete if Known**

(Use as many sheets as necessary)

Sheet	of	Attorney Docket Number	H0005302-9953(1161.1123101)
-------	----	------------------------	-----------------------------

U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08B (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/758,838		
		Filing Date	January 16, 2004		
		First Named Inventor	Charles E. Bartlett		
		Art Unit	unknown		
		Examiner Name	unknown		
Sheet		of		Attorney Docket Number	H0005302-9953(1161.1123101)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		<del>Honeywell, Inc., "Residential Ventilation Control," Project Report, 32 pages, May 1993. duplicate</del>	

Examiner Signature	<i>CS</i>	Date Considered	12/22/05
-----------------------	-----------	--------------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)